

A background image showing a complex molecular structure with green and white spheres connected by grey rods, representing atoms and bonds in a 3D space.

# The Problem

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# Chemicals We Encounter

1%

## Pharmaceuticals

- Designed to be biologically active
- Performance criteria include a consideration of side effects
- Produced in relatively small volumes
- Well defined use scenarios



99%

## Industrial chemicals

- No intentional biological activity
- Performance is divorced from toxicity
- Can be produced in multi-billion pound quantities
- Extremely diverse use scenarios



# Environmental Impacts of Chemical Industry



**FUKUSHIMA NUCLEAR POWER PLANT 2011**

Exposure controls can and do fail

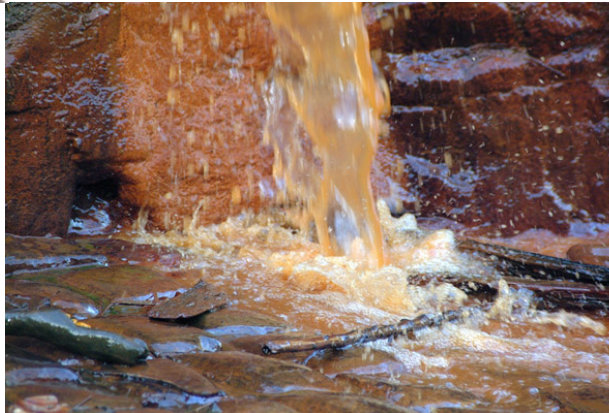
3.93 billion lbs. of toxic chemicals were released directly to air, land, and water in 2010, 16% increase from 2009.

**US EPA TRI**



**PLAQUEMINES PARISH, LA**

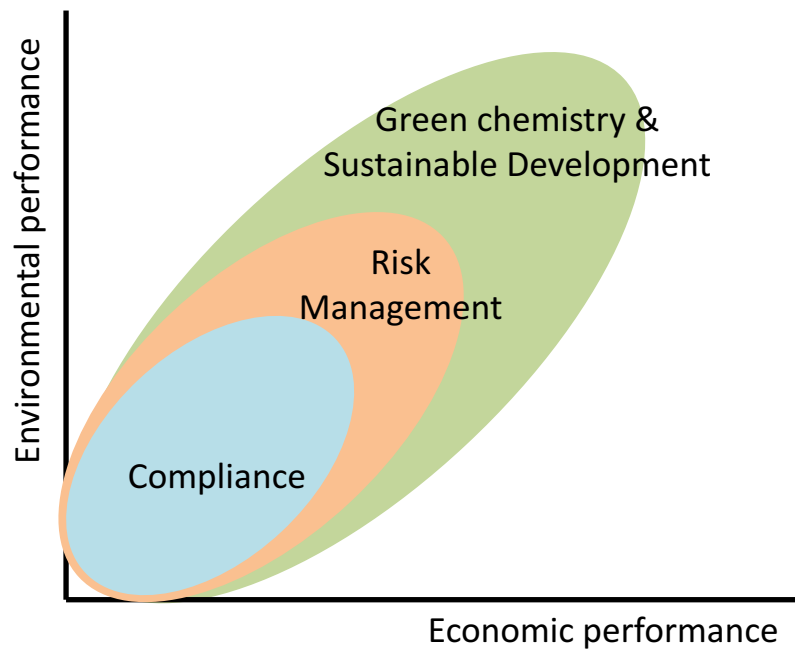
High levels of toxic chemicals in fish cause massive fish kills



Severe weather systems and rising sea levels are providing palpable indicators of climate change



# Proactive Approach

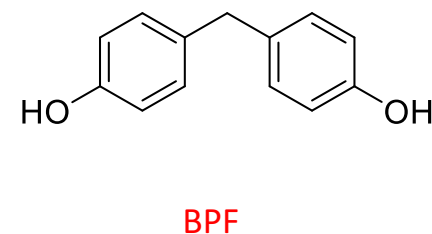
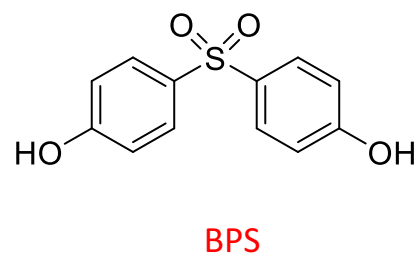
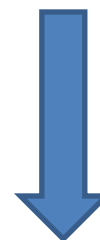
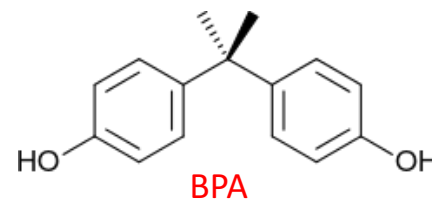


The design of chemical products and processes that reduce or eliminate the use and generation of hazardous substances



# Chemical Substitutions

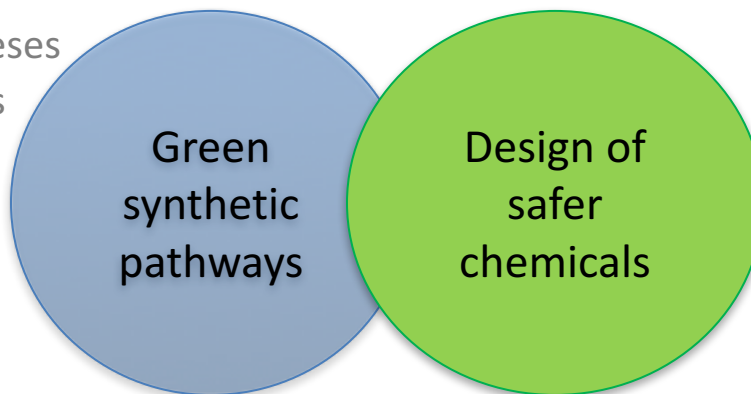
*Out of the frying pan and out of the fire:*  
replacement flame retardants now found in  
breast milk



# Principles of Green Chemistry

the **design** of chemical products and processes that minimize the use or generation of hazardous substances.

- Waste
- Less hazardous syntheses
- Renewable feedstocks
- Catalysts
- Chemical derivatives
- Atom economy
- Safer solvents
- Energy efficiency



- Safer chemicals
- Biodegradable chemicals
- Potential for accidents

~ 90% materials' feedstocks are from petroleum

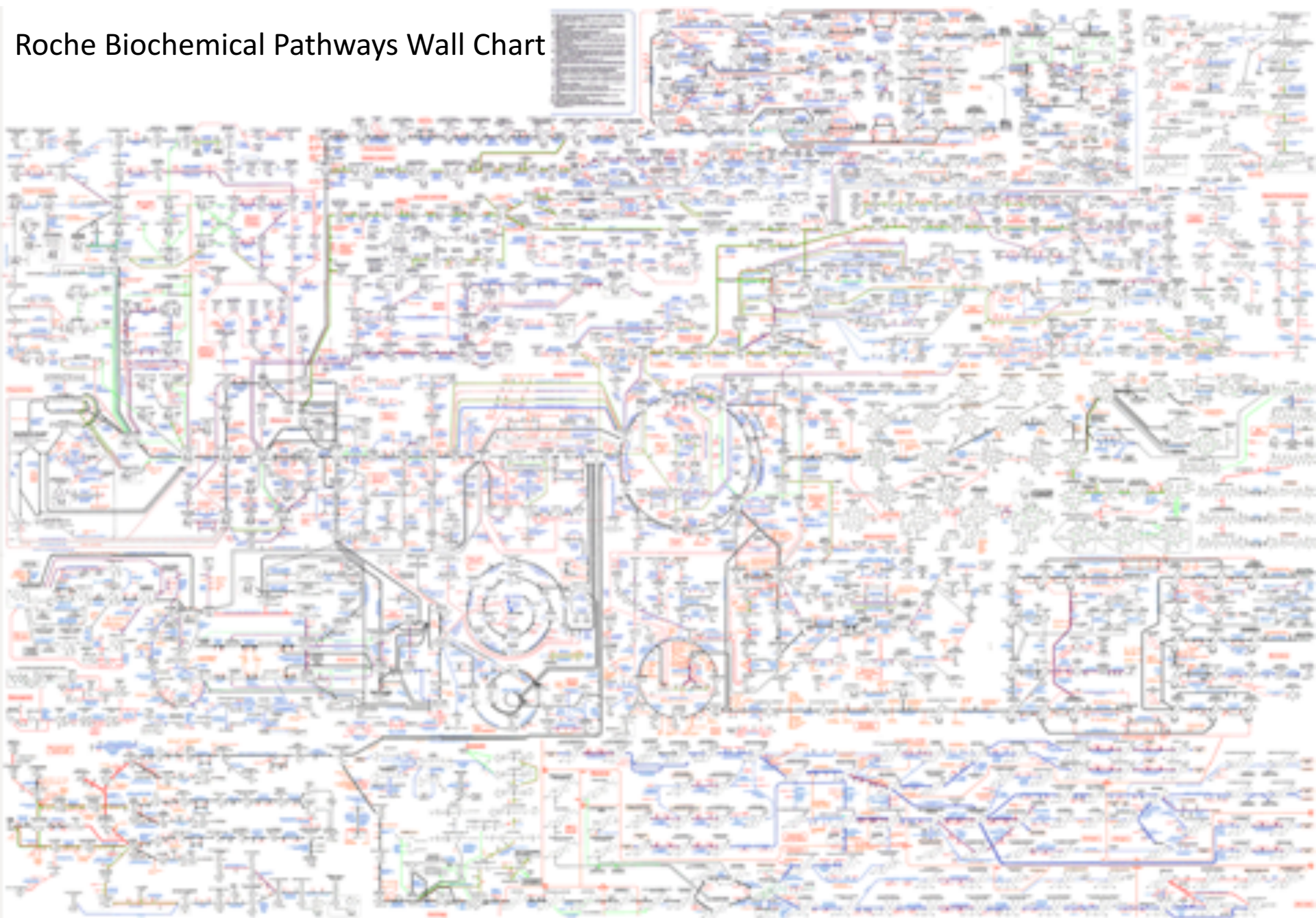
82K registered for commerce (US EPA), ca. 4% have health/safety data (e.g. MSDS), ca. 75% have not been studied at all

In practice, EPA's TSCA regulates only 5 out of 82,000+ chemicals in commerce;

62,000 chemicals were already in commerce before 1972, are exempt from TSCA

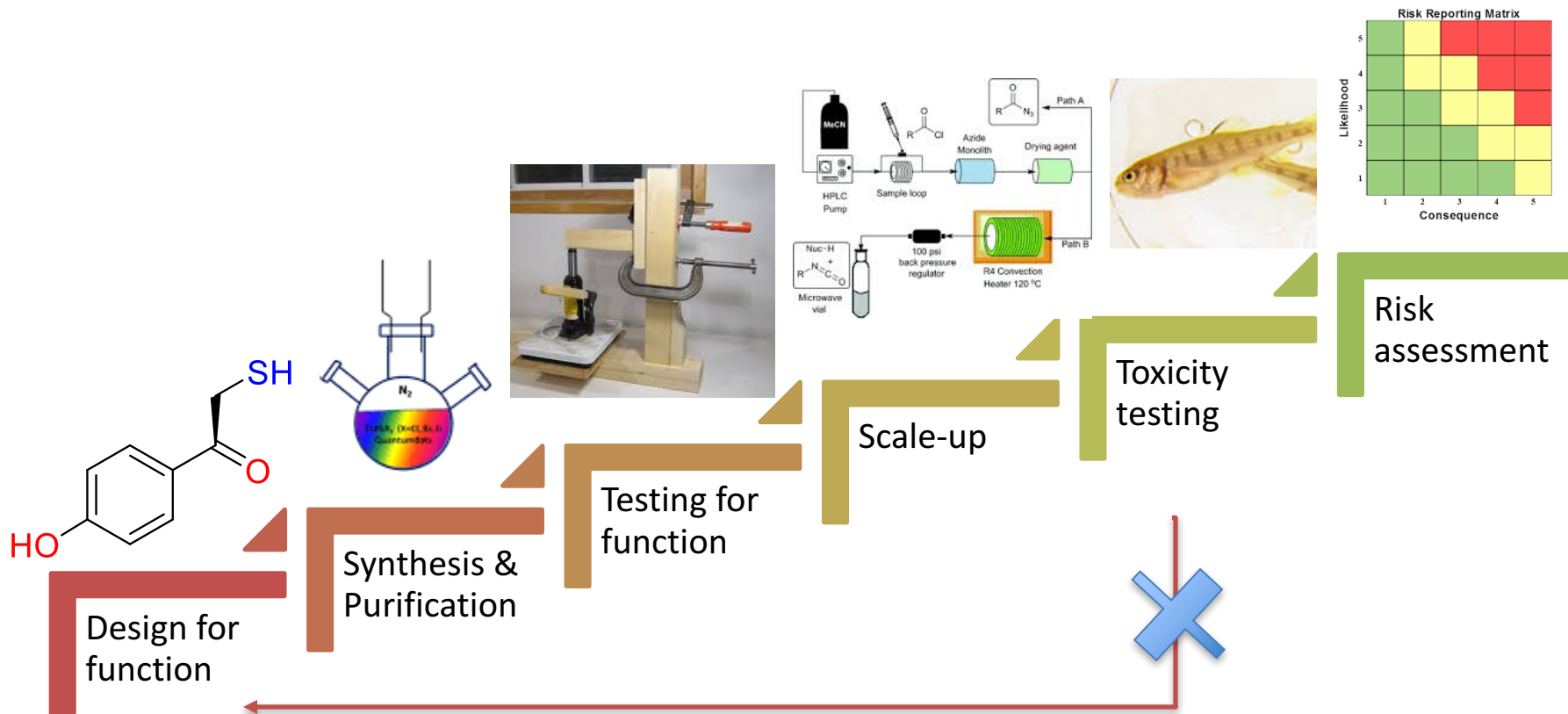
~ 2000 new chemicals introduced to market/yr, most of which have no experimental health or safety data

Roche Biochemical Pathways Wall Chart



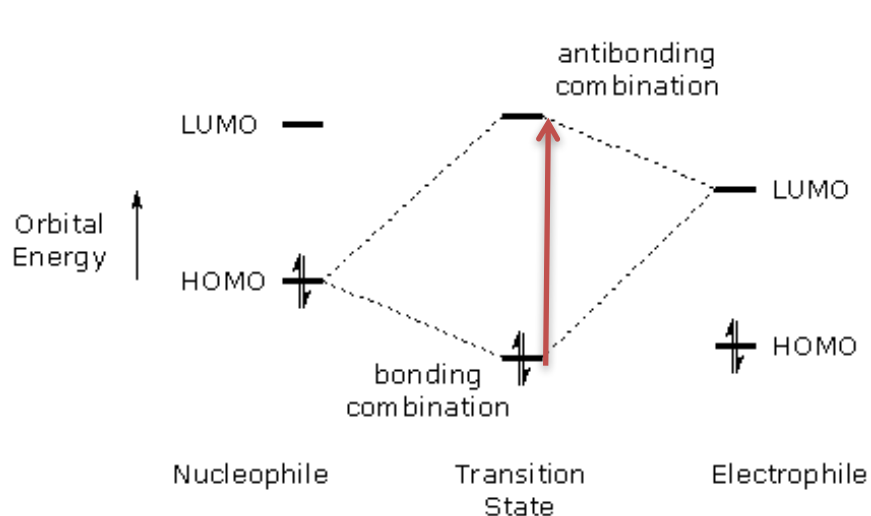


# Commercial chemical development

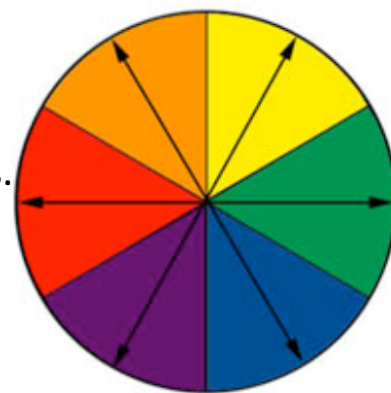


# Designing for function: dyes

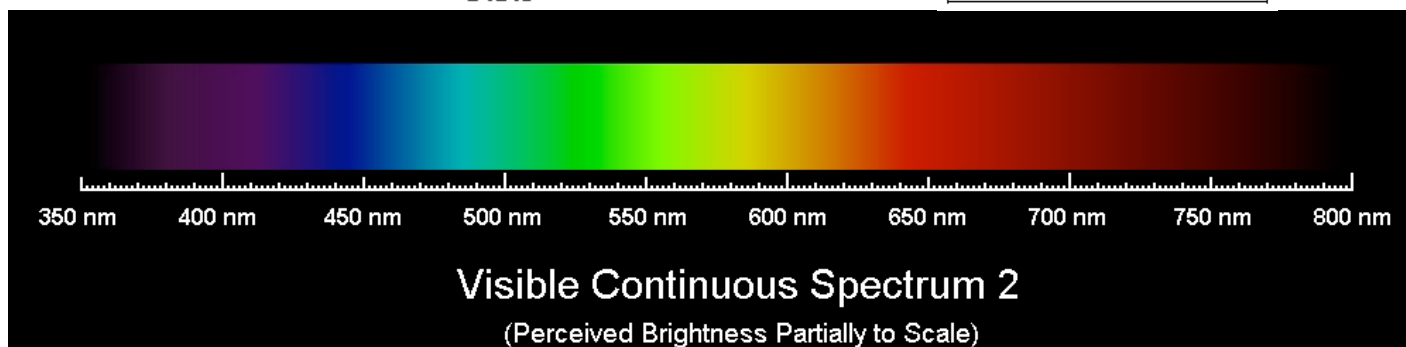
What makes a compound appear to have color?



As the HOMO/LUMO energy gap decreases, the wavelength of the absorbed color increases. To find the perceived color, look at the complementary colors on the color wheel.



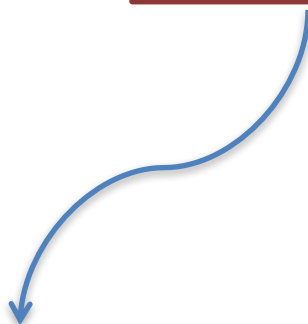
$$E = hc/\lambda$$



# Traditional Approach towards Minimizing Risks from Industrial Chemicals

Risk Equation, NAS 1983

$$\text{Risk} = f(\text{hazard, exposure})$$

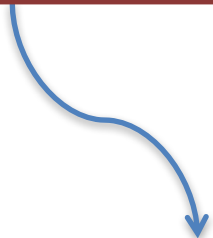


Probability of:

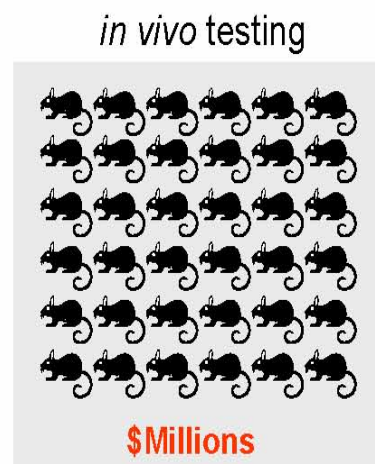
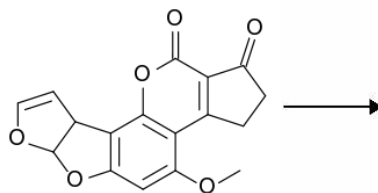
- Physical hazard – fire, chemical reactivity
- Toxicity and ecotoxicity
- Global resource depletion and destruction



Test for hazard



Minimize exposure



- ● Cancer
- ● ReproTox
- ● DevTox
- ● NeuroTox
- ● PulmonaryTox
- ● ImmunoTox

# Green Chemistry and Rational Design

## Principles of GC that focus on chemical hazard

- Use and generation of safer chemicals and products
- Design chemicals and products to degrade after use
- Minimize the potential for accidents

Focus on hazard rather than exposure

$$\text{Risk} = f(\text{hazard}, \text{exposure})$$

Tools that can be applied by chemists at the design stage:

- Identify and prioritize potentially toxic chemicals
- Reduce animal testing
- Decrease the cost of testing chemicals for potential toxicity
- Design chemicals that minimize toxicity

Inspiration

Assumption

Desired outcome



# Identification of Toxic Chemicals vs Design for Minimal Toxicity

## Value of Reactive Approach

- Identify hazardous chemicals from those already in existence
- Evaluate chemical alternatives
- Carry out risk assessment

## Value of Proactive Approach

- Requires that chemists consider biological activity alongside function at the design stage
- Redesign an existing chemical to minimize biological activity
- Design a new chemical that has a superior safety profile to chemicals in the market

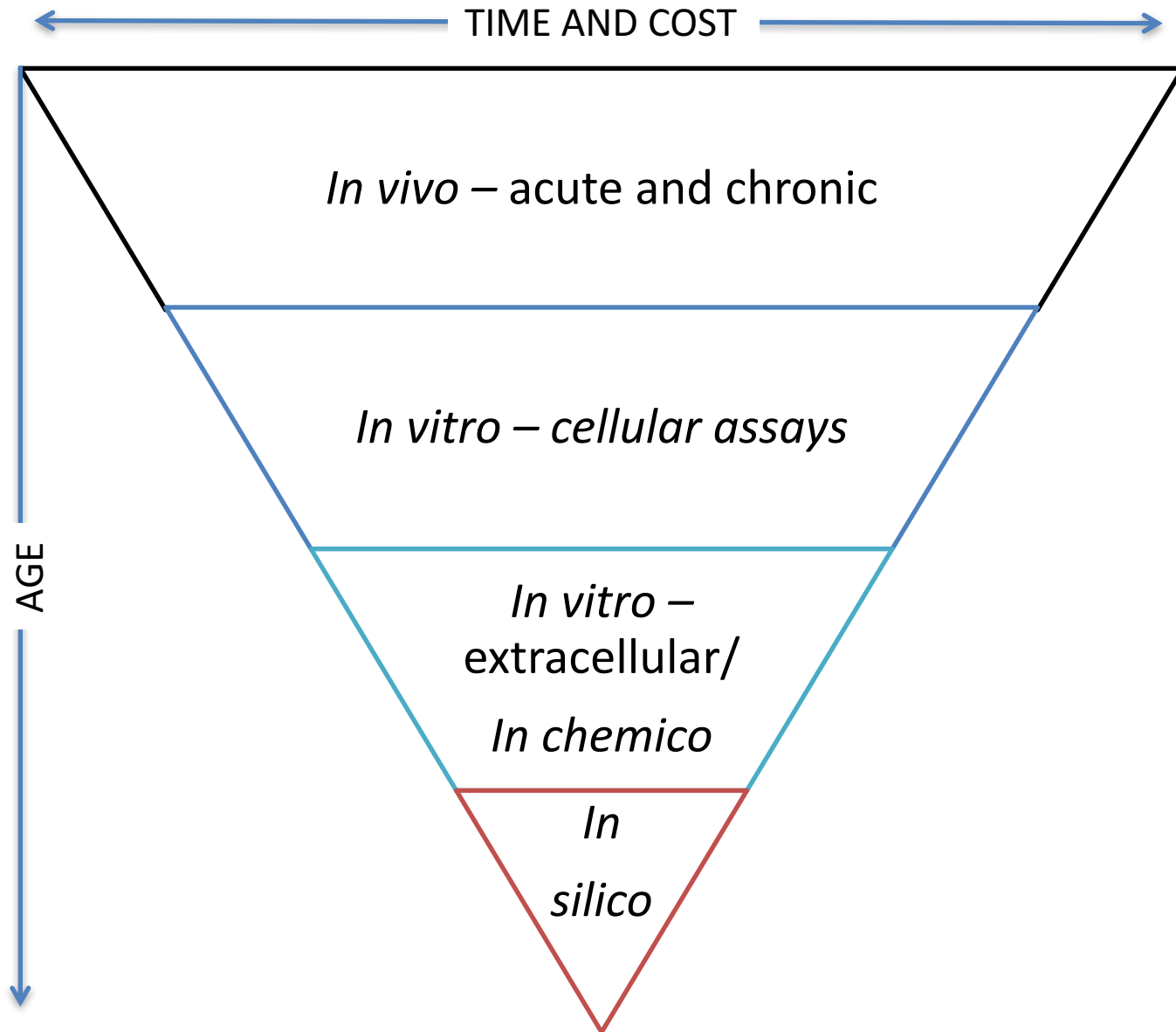
# Experimental Measurements of Toxicity *In Vivo*

Can broadly classify toxicity tests based on *length of exposure*

- **Acute Toxicity test**
  - Drop dead testing
  - Time = 2 days (invertebrates) to 4 d. (fish)
    - $LD_{50}$
    - $LC_{50}$
    - $TL_m$  (median tolerance dose)
    - $EC_{50}$  (effective concentration)
      - Lose equilibrium, sit on bottom → “ecologically” dead
  - Not very ecologically relevant but quick, relatively cheap (but still ~\$700-1,200 per test)
- **Chronic toxicity test**
  - Growth, reproduction
  - More ecologically relevant data but takes longer, more expensive
  - Shows effect at much lower dose
  - Test requires much more “baby-sitting”
    - NOEC (No Effect Concentration)
    - LOEC (Low Effect Concentration)

# **Part 3: Using *in silico* models to predict ecotoxicity**

# Toxicity (**Hazard**) Estimation Methods





# Hazard prediction vs chemical design

Chemists/engineers consider biological activity alongside function at the design stage

## ***In silico* toxicity (hazard) estimation tools**

- Identify hazardous chemicals from those already in existence
- evaluate chemical alternatives
- risk assessment

## ***In silico* Chemical Design**

- Often there are no existing chemicals/materials that have desired function and no biol. activity
- In this case we must design from scratch (de novo) chemical that is functional and has a superior safety profile to chemicals in the market

# Predictive Models

- What is a predictive (QSAR) model?

A model whose *primary* purpose is for prediction of a quantitative outcome (as opposed to inference)

- Mechanistic interpretation of the model is considered necessary
- To make a good model that predicts well on future samples, you need to know a lot about
  - The *predictors* (physical meaning, relation to each other)
  - The *biological data* (accuracy, mechanisms, method)

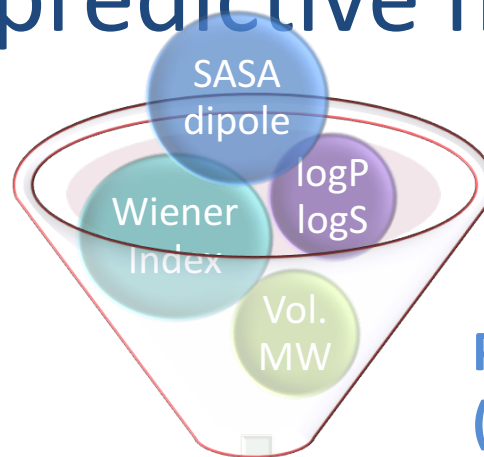
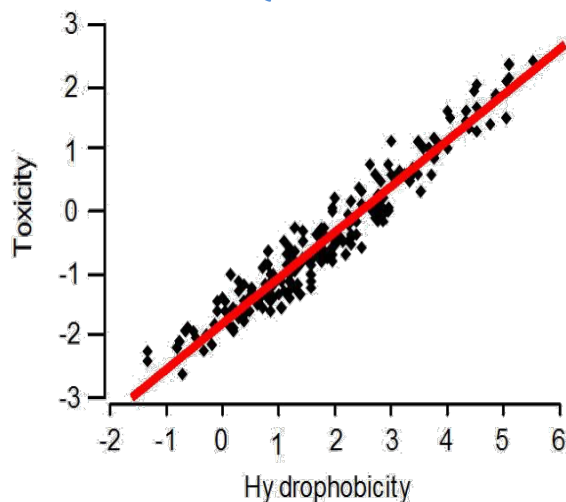
# What Are They **Not** Good For?

- An example:

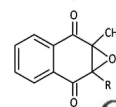
A toxicologist collects some data from a small animal trial and wants a model that would use gene expression data to predict toxicological response. There were about 54K predictors and data was collected on ~20 animals.
- Problem?
- Solution?
  - the mechanism is understood, effort must be made to first identify the mechanistically-relevant set of predictors

# Property-based predictive models

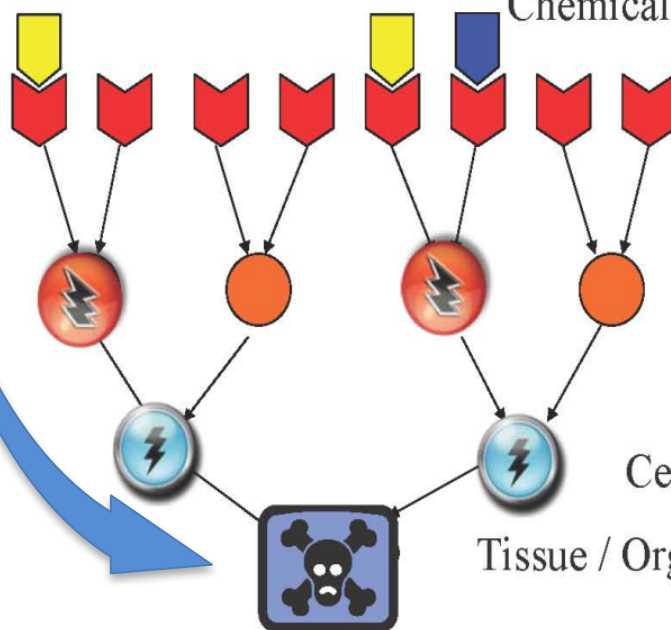
QSAR



Property filter  
(Design guideline)



Chemical



Receptors / Enzymes / etc.  
Direct Molecular Interaction

Pathway Regulation /  
Genomics

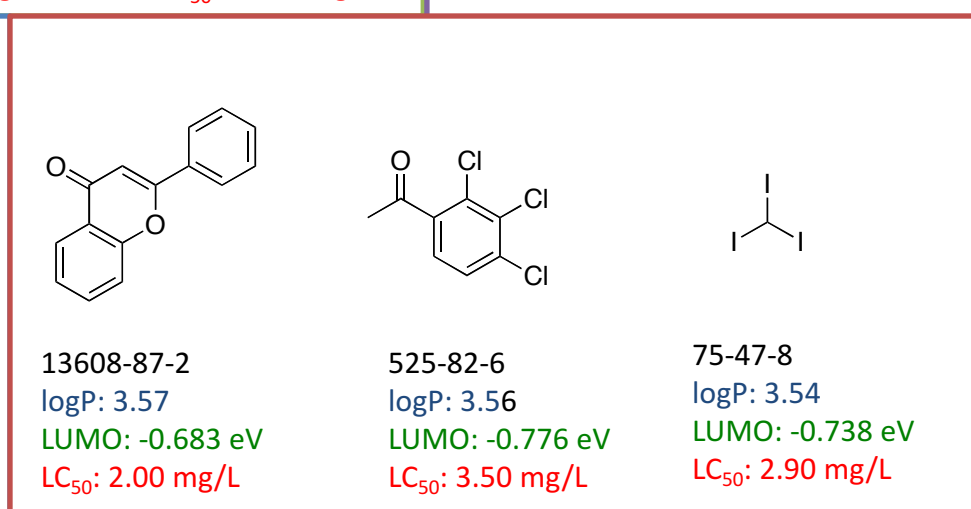
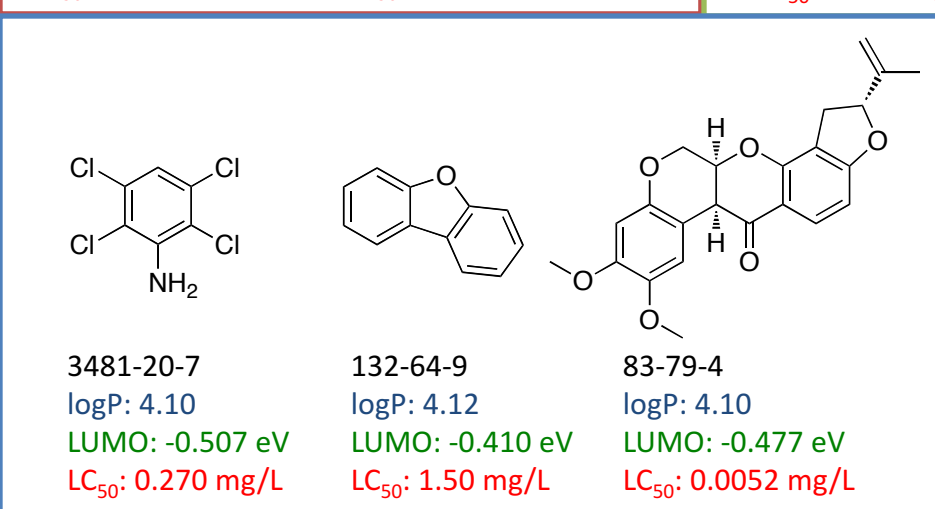
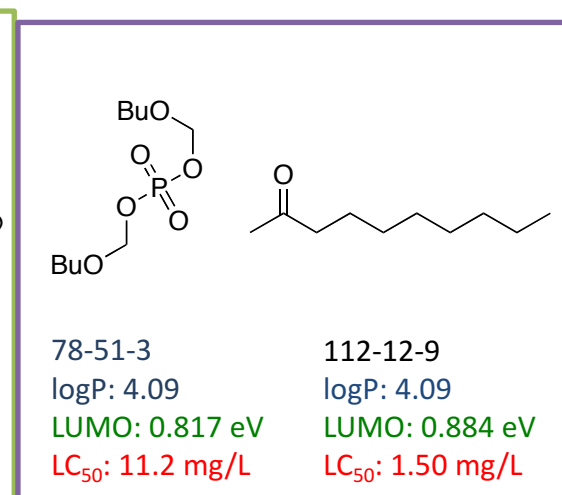
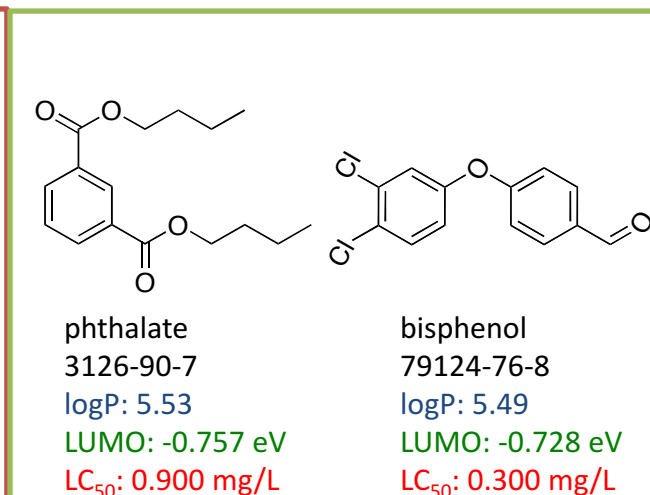
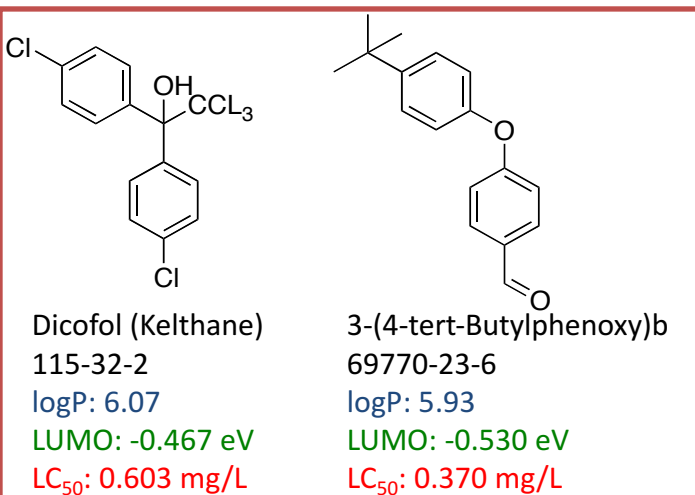
Cellular Processes

Tissue / Organ / Organism Tox Endpoint



# 1

# Why use properties and not structure?



# Assessing Model Accuracy

- How well does a regression model perform? Answering this question depends on how we want to use the model. Possible goals are:
  - To understand the relationship between the predictor and the response.
  - To use the model for prediction of unknowns
- In either case, we can use several of different measures to evaluate model performance. We will focus on two:
  - Coefficient of determination ( $R^2$ )
  - Root mean square error (RMSE)

# Root Mean Squared Error (RMSE)

- RMSE measures the average deviation of an observation to the best-fit plane, i.e. square root of MSE
- RMSPE measures the average deviation of an observation to its predicted value for the test or cross-validation set

$$RMSPE = \sqrt{\frac{\sum_{i=1}^{n^*} (y_i - \hat{y}_i)^2}{n^*}}$$

$n^*$  = the number of observations in the test or cross-validation set

# Assessing Model Predictive Power:

## *External Validation*

- Compute  $R^2$  and RMSE on data for which the model was **not** built (i.e. a test set or cross-validation set).
  - For a held-out set of data,  $R^2$  is commonly referred to as  $Q^2$
- **RMSE** measures the average deviation of an observation to the best-fit plane, i.e. square root of MSE
- **RMSEP** measures the average deviation of an observation to its predicted value for the test or cross-validation set

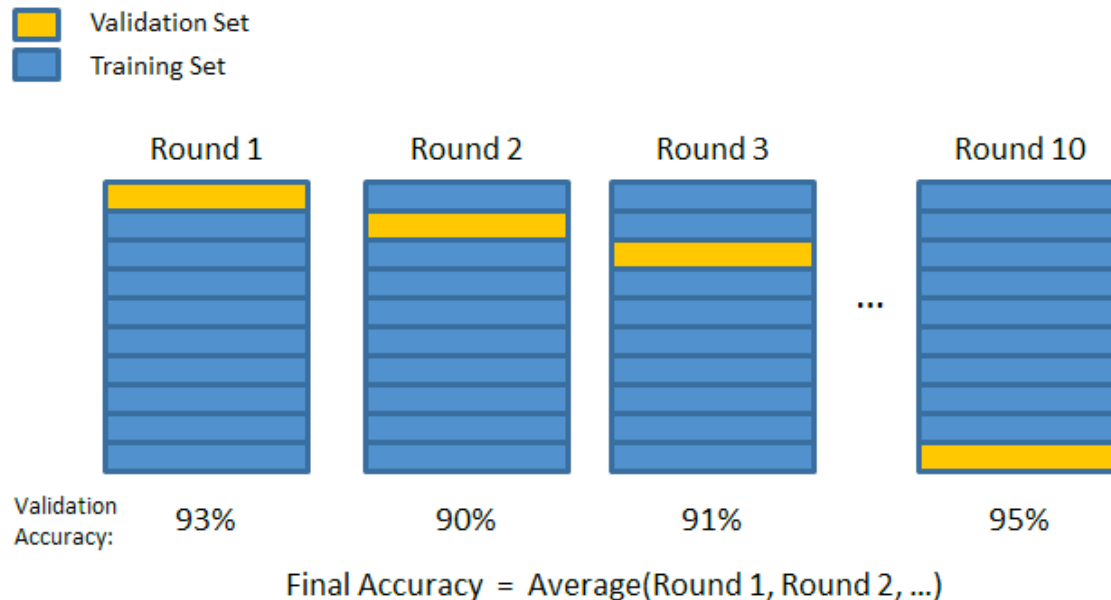
$$RMSEP = \sqrt{\frac{\sum_{i=1}^{n^*} (y_i - \hat{y}_i)^2}{n^*}}$$

$n^*$  = the number of observations in the test or cross-validation set



# K-fold Cross Validation: *Internal Validation*

- Here, we randomly split the data into  $K$  blocks of roughly equal size
- We leave out the first block of data and fit a model, which is used to predict the held-out block
- We continue this process until we have predicted all  $K$  hold-out blocks
- The final performance is based on the hold-out predictions



# Sample Results

	Training Data		Validation Data	
	<b>RMSE</b>	<b>R<sup>2</sup></b>	<b>RMSEP</b>	<b>Q<sup>2</sup></b>
Linear Reg	5.23	0.691	4.53	0.742

- A reason you may see differences: multicollinearity
  - Multicollinearity in the predictors can produce somewhat unstable solutions for each resample
  - When the data are slightly changed, the model can drastically change

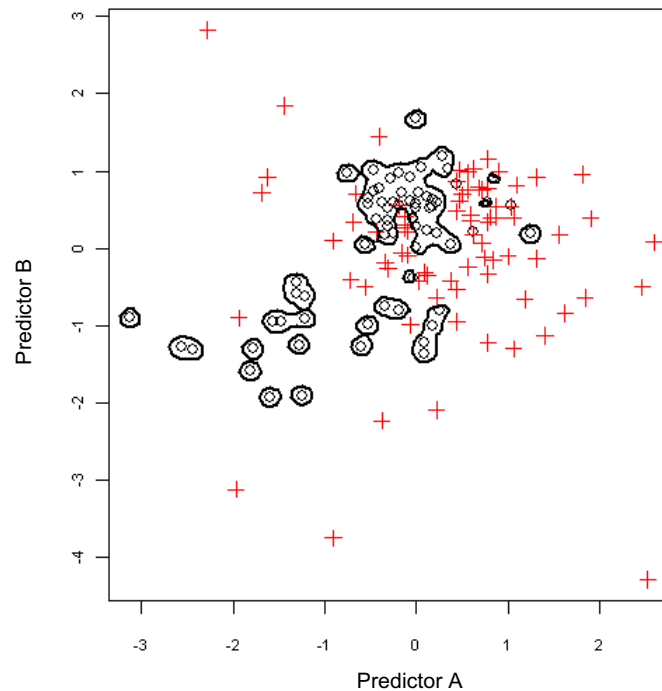
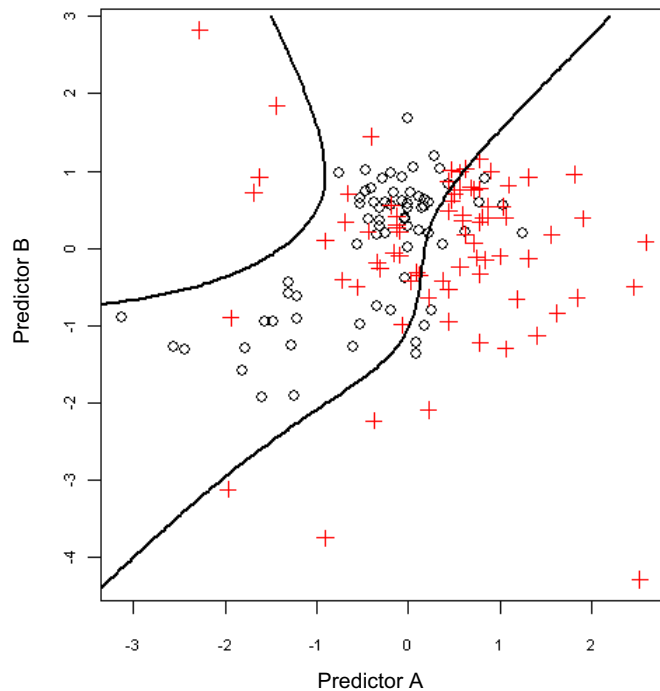
# Common Pitfalls of QSARs

- **Over-fitting:** occurs when a model has extremely good prediction for the training data but predicts poorly when
  - the data are slightly perturbed
  - new data are used (external validation)
  - Number of descriptors used should not be  $> 1/5$  number of compounds in training set
- **Imaginary relationships:** Complex regression and classification models assume that there are patterns in the data.
  - Without some control many models can find very intricate relationships between the predictor and the response
  - These patterns may not be valid for the entire population.

# Over-Fitting

The plots below show classification boundaries for two models built on the same data

Which one is over-fit?



# Defining the Applicability Domain

- *Extent of extrapolation*: What types of chemicals can be reliably predicted?
- Describe the training set
- Test the applicability
- Mechanistic applicability

# QSAR Commandments

1. An unambiguous algorithm
2. A defined applicability domain
3. Appropriate measures of goodness of fit, robustness and predictivity are used
  1.  $R^2$ , RMSE ( $R^2 > 0.6$ )
  2.  $Q^2$ , RMSEP ( $R^2 > 0.5$ )
4. Model validation: quantitative assessment of model robustness and its predictive power
5. Cardinal rules:
  - $n > 4k$  ( $n$  = # of compounds,  $k$  = # of descriptors)
  - Each descriptor must have significance level  $p < 0.05$
  - Pairwise correlation among descriptors  $< 0.9$

# Comparing QSAR Models

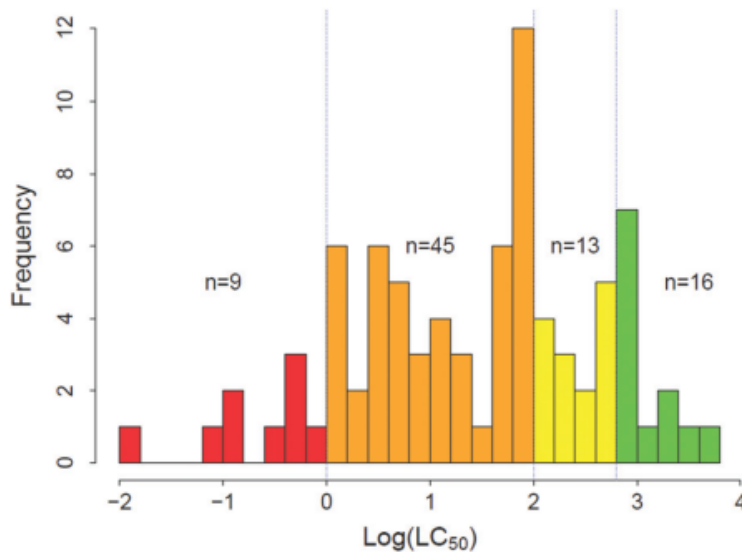
**Table 2** Predictive tool summary

	ADMET	CADRE-AT	ECOSAR	KATE	TEST
Free-ware?	No	No	Yes	Yes	Yes
Statistical method	2D ANNE	Classification system	Class-specific linear regression	Class-specific linear regression	Consensus model
AD definition	Molecular descriptor space	Molecular descriptor space	Log <i>P</i> range and class categorization concerns	Log <i>P</i> range and class categorization concerns	Molecular descriptor space
Training set size	490	565	1000s <sup>a</sup>	535	823
Training set species	Pimephales promelas	Pimephales promelas	All OCSPP approved species	Oryzias latipes, pimephales promelas	Pimephales promelas
Output	LC <sub>50</sub>	Toxicity category ( <i>n</i> = 4)	LC <sub>50</sub>	LC <sub>50</sub>	LC <sub>50</sub>
# of chemicals in the AD <sup>b</sup>	78	80	61	35	57

<sup>a</sup> The exact number of compounds is not available. <sup>b</sup> Number of chemicals in the validation set (*N* = 83) that are in the AD of each model. OCSPP – office of chemical safety and pollution prevention; 2D ANNE -two-dimensional artificial neural network ensemble. AD – applicability domain.



# Test Set and Regulatory Categories



Assess 83 chemicals  
external to the training

Fig. 1 The distribution of log(LC<sub>50</sub>) thresholds (mg L<sup>-1</sup>).

# Accuracy and results

**Table 3** Tool performance and comparison summary statistics based on all 83 chemicals in the testing data set

Measures of predictive accuracy	ADMET	CADRE-AT	ECOSAR	KATE	TEST
Total accuracy (%) <sup>a</sup>	53%	83%	51%	58%	48%
Predictive power (%) <sup>b</sup>	49%	80%	49%	40%	35%
Number of missing predictions	5	3	2	26	23
Coefficient of variance ( $R^2$ )	0.27	NA <sup>c</sup>	0.11 <sup>d</sup>	0.35	0.21
RMSE (log scale)	1.60	NA <sup>c</sup>	2.94 <sup>d</sup>	1.47	1.32
% within 1 regulatory category	80.8	92.5	85.2	85.5	88.3
% within a factor of 2 (%)	25.6	NA <sup>c</sup>	25.9	26.3	30.0
% within a factor of 5 (%)	48.7	NA <sup>c</sup>	54.3	47.4	50.0
% within a factor of 10 (%)	57.7	NA <sup>c</sup>	63.0	64.9	63.3
% within a factor of 100 (%)	80.8	NA <sup>c</sup>	76.5	82.5	85.0
% within a factor of 1000 (%)	91.0	NA <sup>c</sup>	86.4	94.7	98.3

<sup>a</sup>Total accuracy is the fraction of chemicals assessed by each tool for which the predicted LC<sub>50</sub> falls within the same regulatory category as the measured LC<sub>50</sub>. <sup>b</sup>Similar to total accuracy, predictive power measures the total number of correct category assignments. However, lack of prediction is treated as an incorrect assignment. <sup>c</sup>Cannot be calculated; software tool provides regulatory category designation only. <sup>d</sup>Parametric correlation might provide poor estimate of covariance due to extreme outliers. RMSE – root mean squared error.

# Predicted vs Measured LC<sub>50</sub>

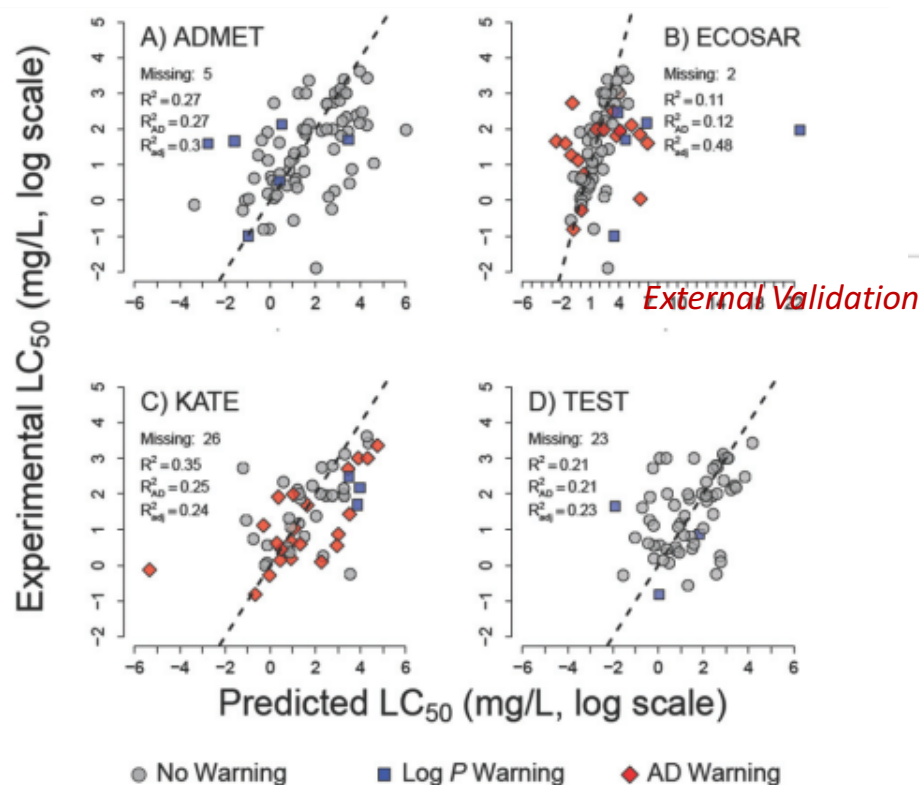
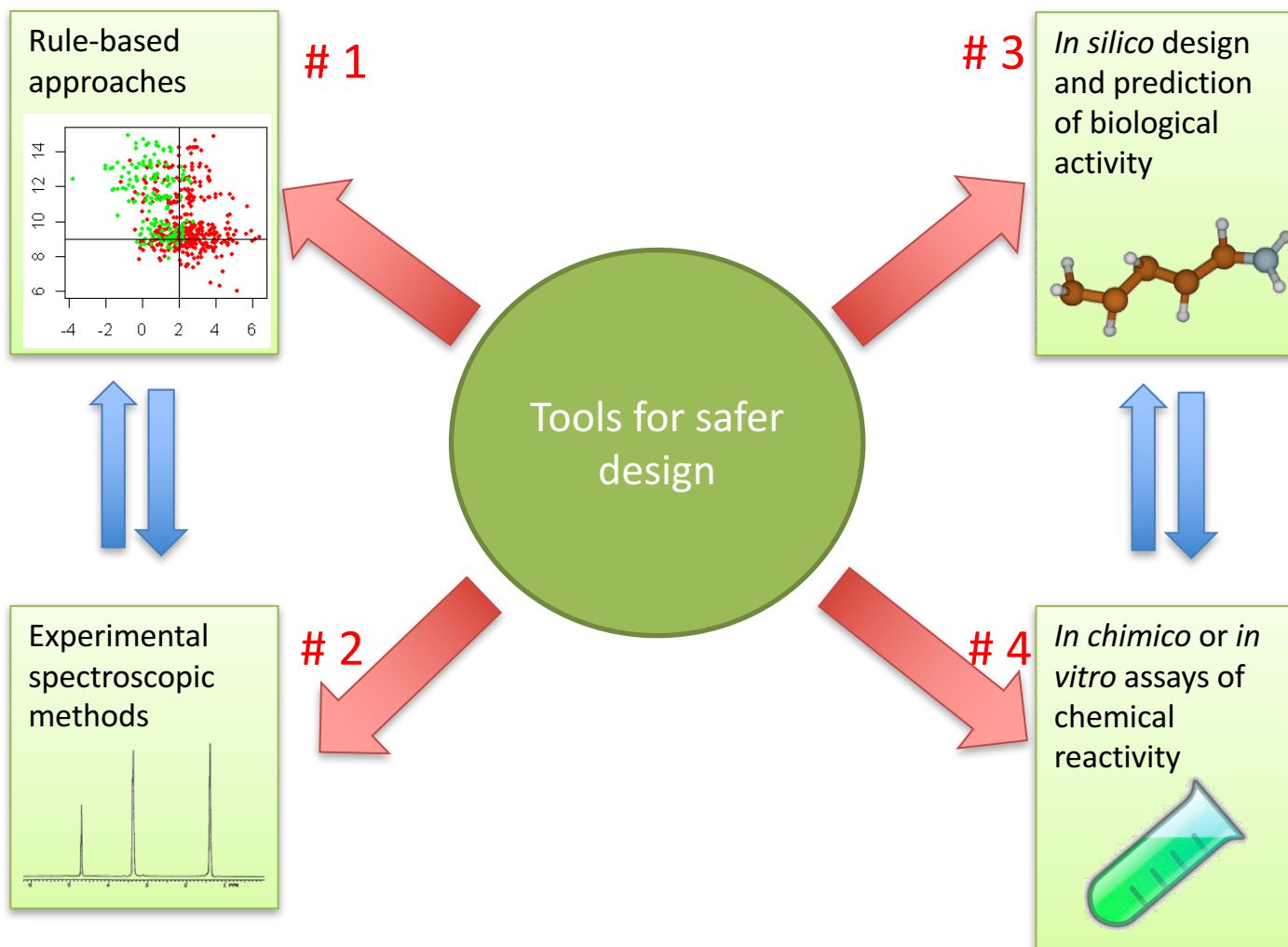
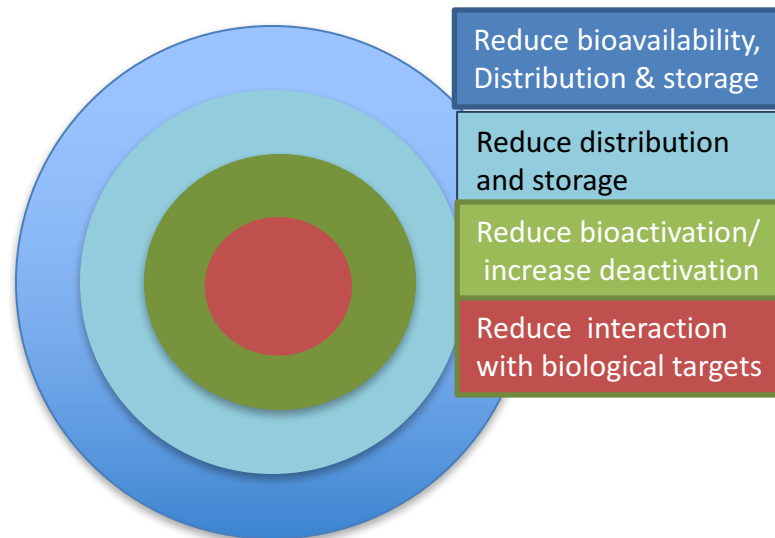
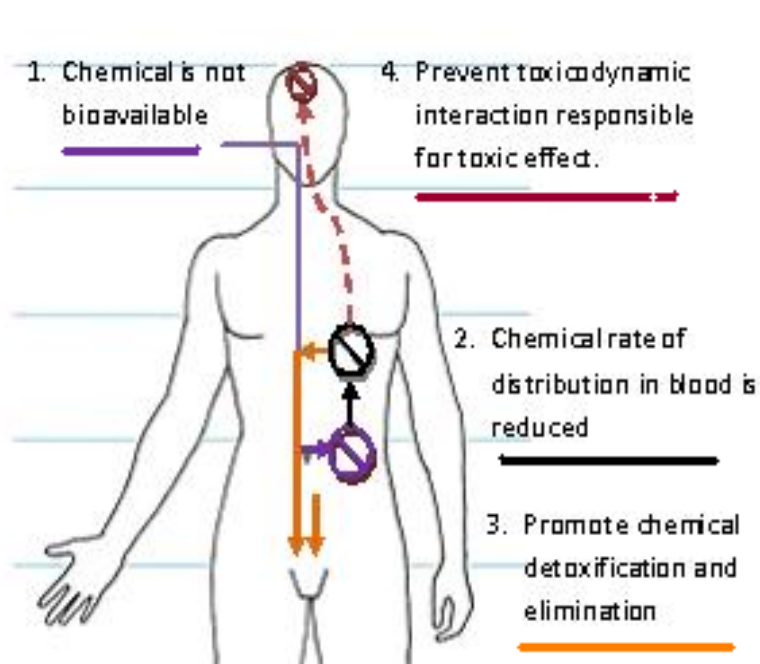


Fig. 3 Correlations between predicted and experimental LC<sub>50</sub> values on log for (A) ADMET predictor, (B) ECOSAR, (C) KATE, and (D) TEST. Red: chemicals that lie outside the AD; blue: log  $P$  estimates used by the tool are  $>1$  log unit below Marvin log  $D_{7.4}$  estimates.  $R^2_{AD}$ : coefficient of determination for chemicals inside the AD;  $R^2_{adj}$  is the coefficient of determination for chemicals inside the AD and without log  $P$  warnings.

# Developing Tools That Enable the Rational Design of Safer Chemicals

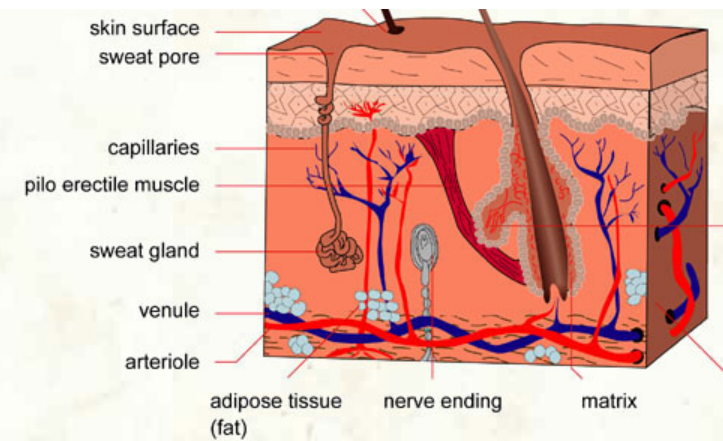
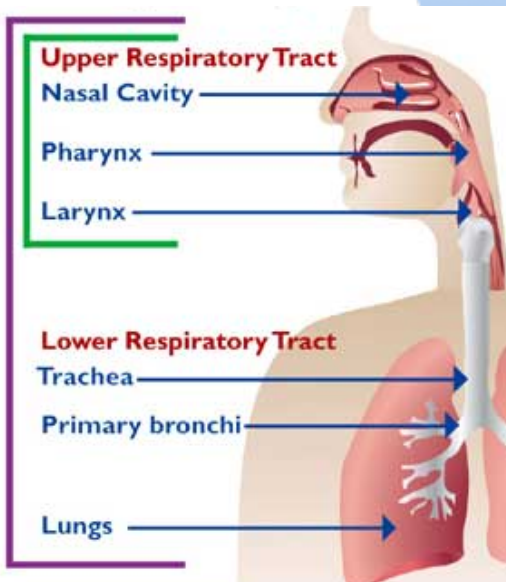
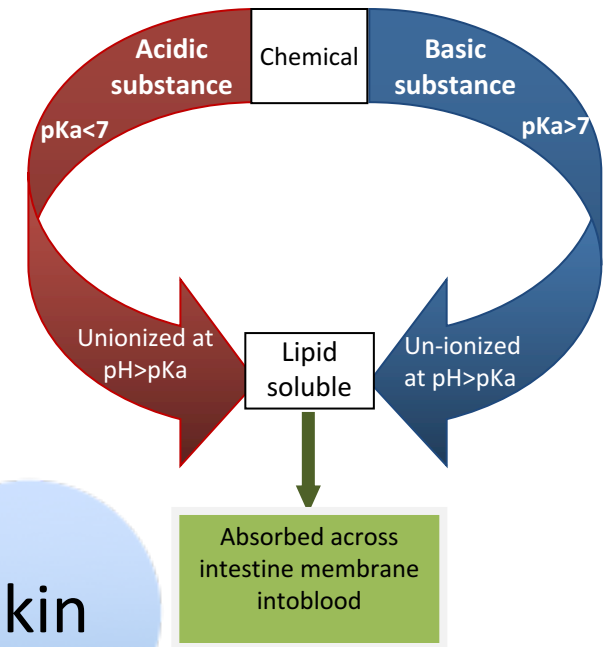
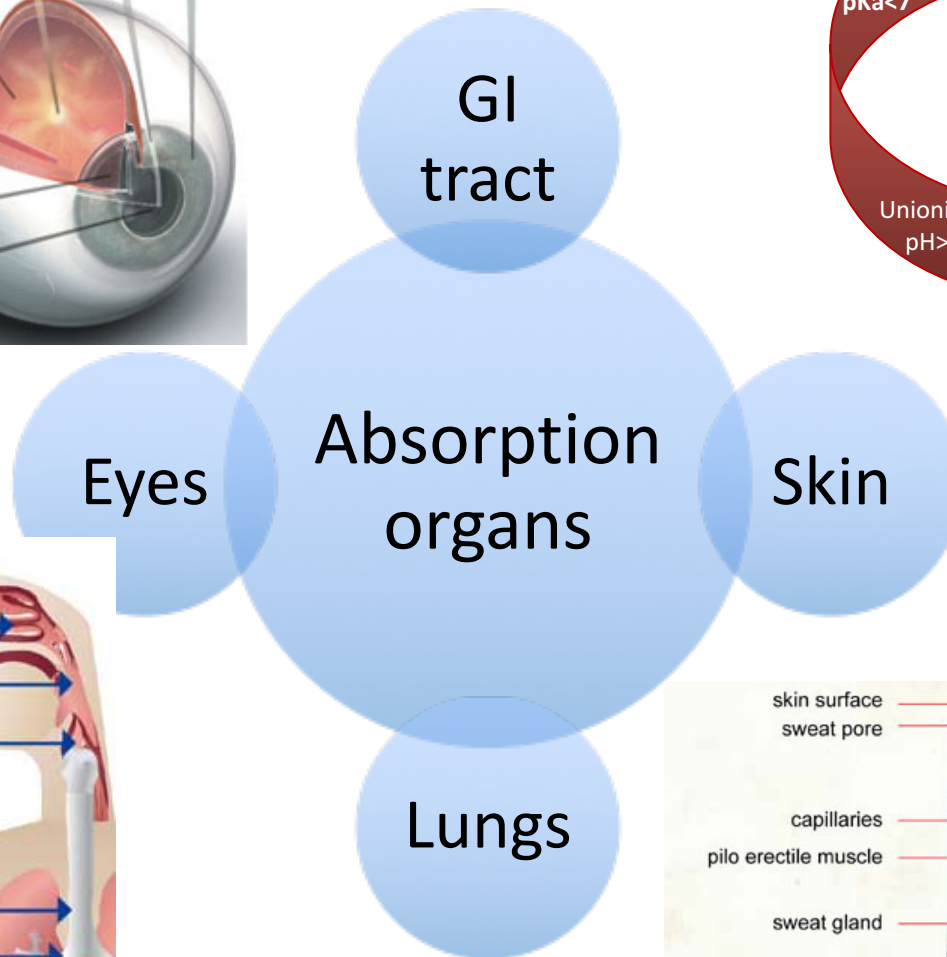
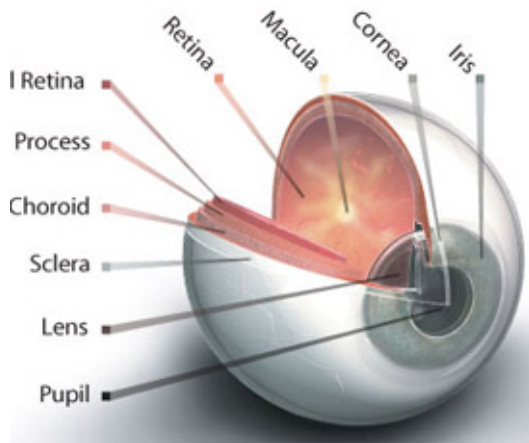


# The physiological “gates” of chemical exposure

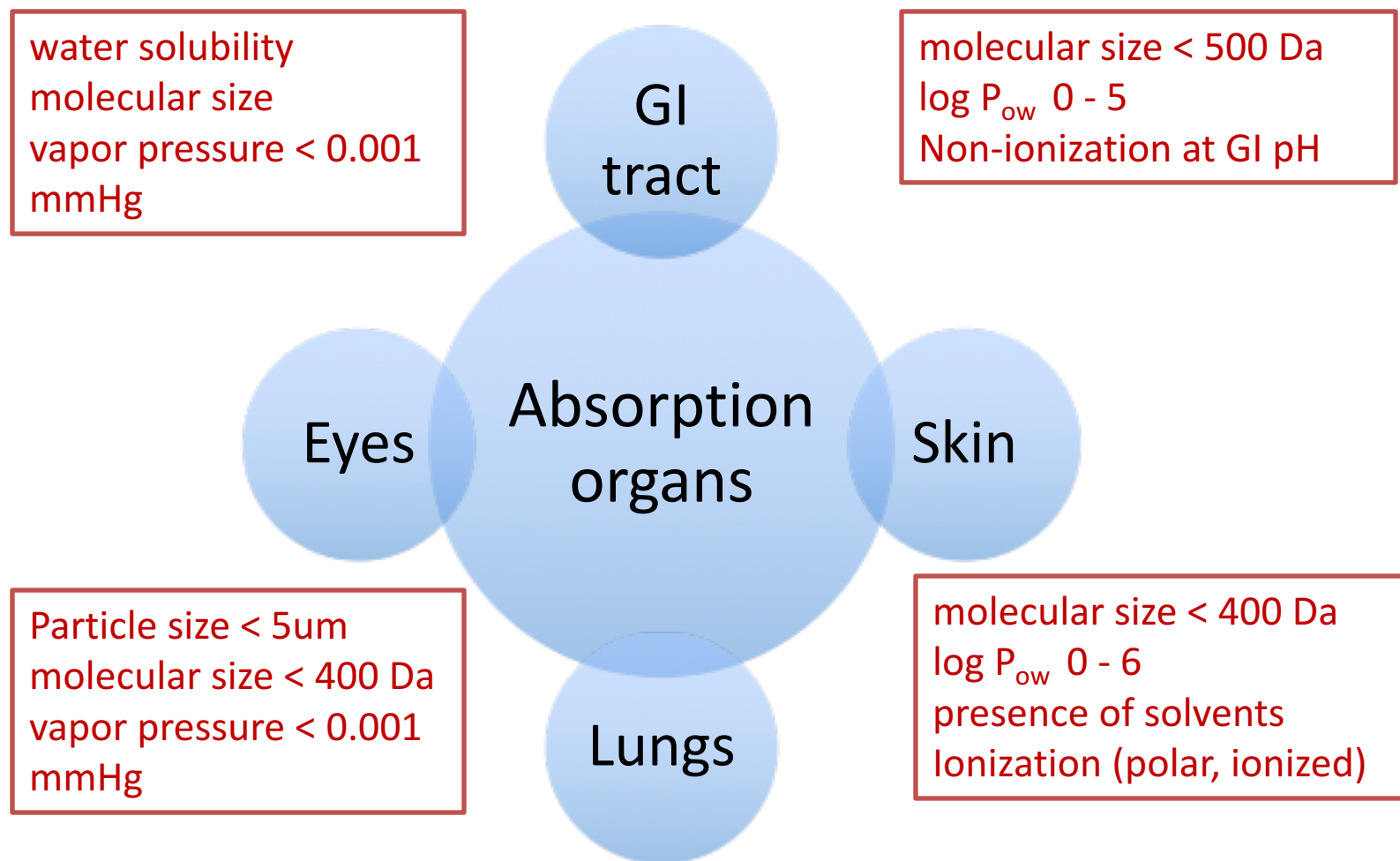


Mechanistic layers of reducing toxicological hazard.

# Property-based guidelines for bioavailability



# Property-based guidelines for bioavailability





# Lipinski rules for drug likeness

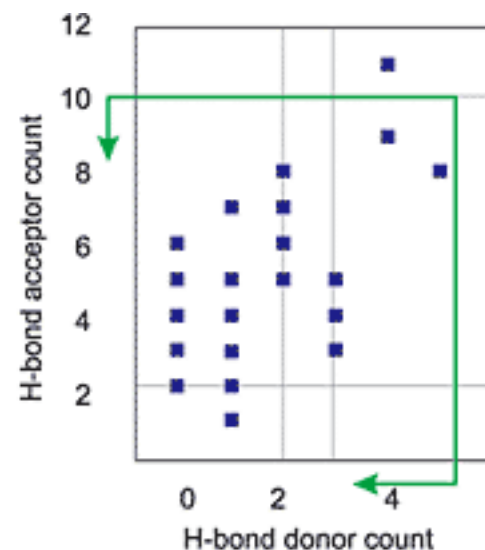
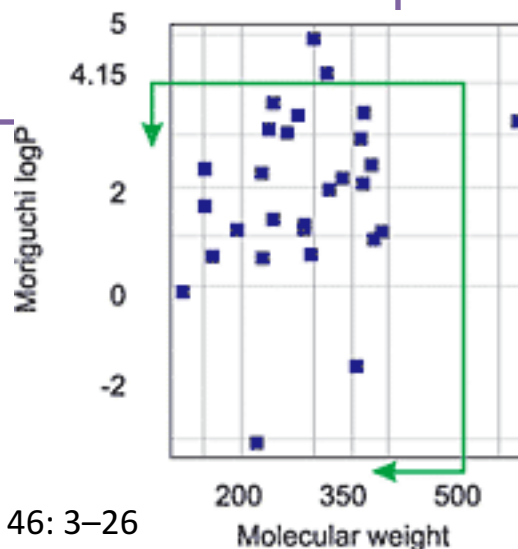
## Oral Bioavailability

Lipinski, 1997

~90% of drugs on the market have the following properties in common:

### Lipinski's Rules for Druglikeness

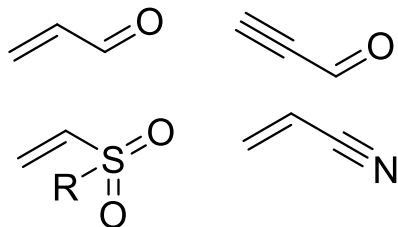
1. Not more than 5 hydrogen bond donors
2. Not more than 10 hydrogen bond acceptors
3. Molecular weight under 160-480 D
4. Octanol-water coefficient ( $\log P$ )  $< 5$
5. 20-70 atoms
6. Molecular refractivity from 40-130  $\text{m}^3/\text{mol}$ .
7. At least one N or O
8. Less than 6 rings



# 1

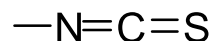
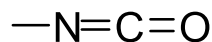
# Rule-based approaches: chemical reactivity

Examples of electrophilic toxicophores:



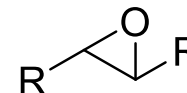
## ***Michael acceptors***

Mutagenicity, carcinogenicity,  
hepatotoxicity, neurotoxicity,  
hematotoxicity



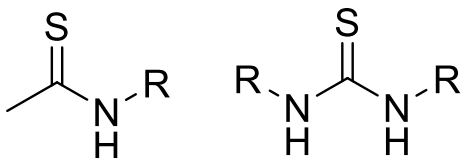
## ***Isocyanates***

Mutagenicity, carcinogenicity,  
respiratory sensitization,  
asthma



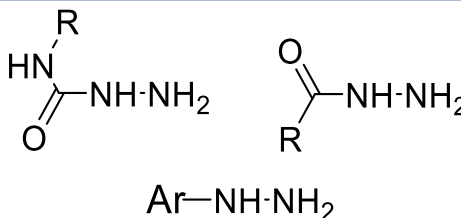
## ***Epoxides***

Mutagenicity, carcinogenicity,  
respiratory sensitization



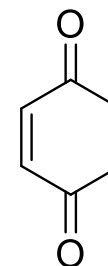
## ***Thiocarboxamides, thioureas***

Thyroid gland toxicity,  
hypothyroidism



## ***Hydrazides, semicarbazides***

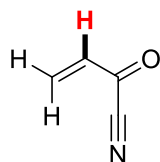
Developmental toxicity,  
osteolathyrism, blood  
dyscrasias, cancer,  
autoimmune disease.



## ***Quinones***

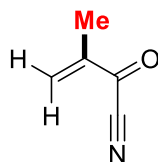
Mutagenicity, carcinogenicity,  
Oxidative stress

# Structural Modifications That Influence Biological Activity



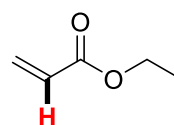
Acrylonitrile

**Possible human carcinogen**



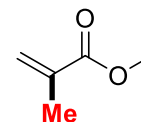
Methylacrylonitrile

**Non-carcinogenic**



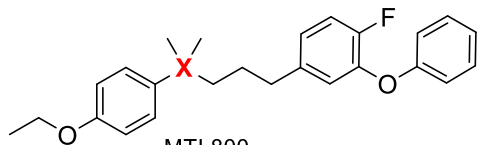
Ethyl acrylate

**Carcinogenic**



Methyl methacrylate

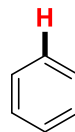
**Non-carcinogenic**



MTI 800

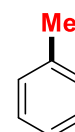
**X** = C" fish  $LC_{50}$  = 3 mg/L

**X** = Si, no mortality at 50 mg/L



Benzene

**Carcinogenic**

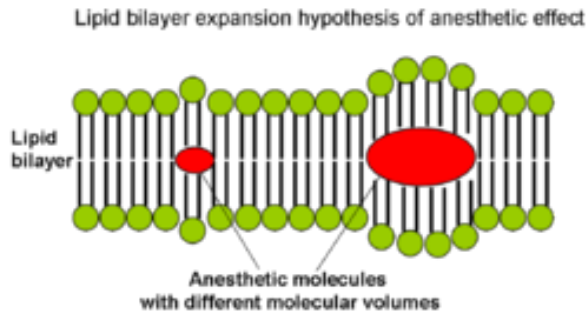


Toluene

**Less carcinogenic**

# Pharmacodynamics/Toxicodynamics

## Baseline toxicity (Narcosis)



- Bulky and hydrophobic (organic) molecules accumulate inside the cell membrane causing its distortion and expansion
- This reversibly alters function of membrane ion channels, thus providing anesthetic effect.
- Actual chemical structure of molecule not important, but its molecular volume and hydrophobicity play the major role

## Reactive toxicity

1. Covalent interactions
2. Receptor binding
3. Non-covalent interactions

# Baseline Narcosis: Octanol-water partition coefficient ( $\log P$ )

$$\log P_{oct/wat} = \log \left( \frac{[solute]_{octanol}}{[solute]_{un-ionized}^{water}} \right)$$

## Strongly orrelated to:

- Bioavailability
- Bioaccumulation
- Narcosis

## *In Silico* methdos:

- Group contribution (CLOGP, ALOGP, KOWWIN):  $r^2 = 0.90-0.95$
- Molecular topology methdos (VLOGP, QikProp):  $r^2 = 0.90-0.98$
- Free energy of solvation methods



## Experimental methods:

- Shake flask
- HPLC (OECD 117)
- Electrokinetic chromatography

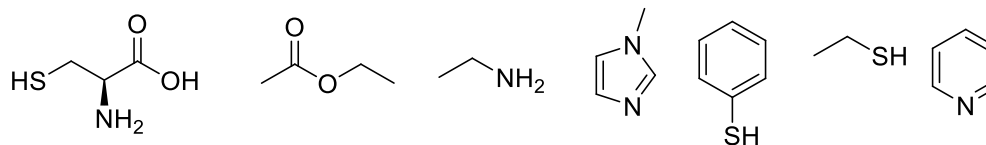


- 10  
hydrophilic

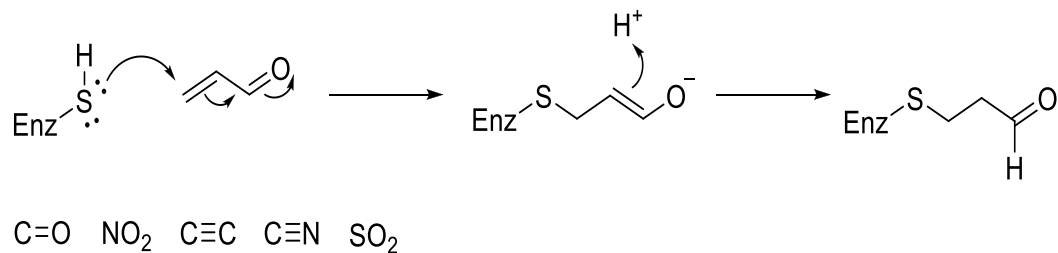
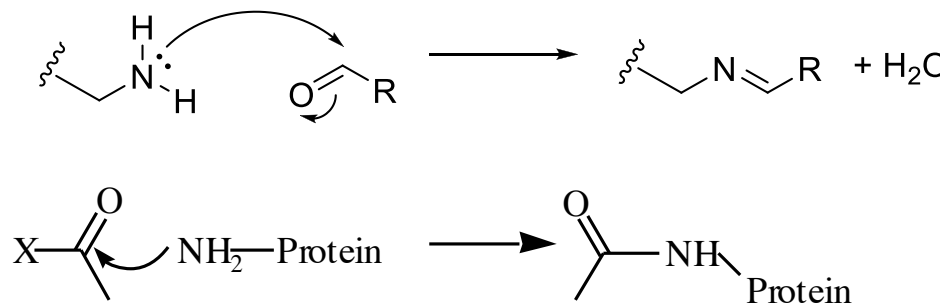
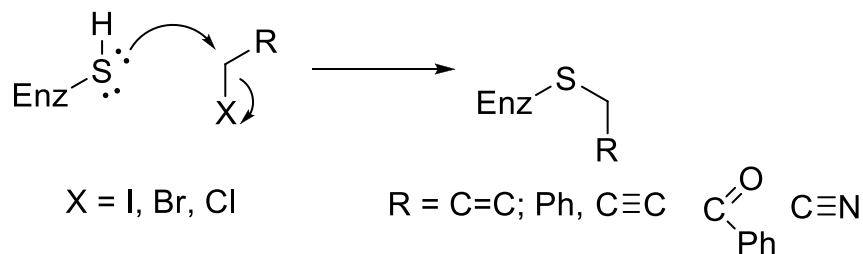
+10  
lipophilic

# Reactive toxicity: Covalent Interactions

## Model biological nucleophiles



## Mechanisms



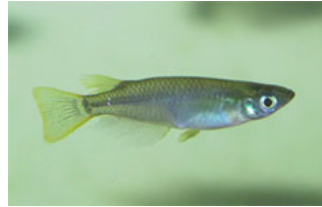
# Application to Design Guidelines for Aquatic Toxicity

Agglomerated biological data that is prone to experimental error

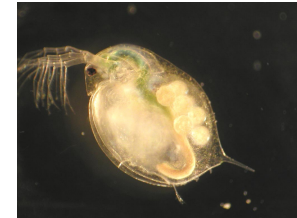


Fathead minnow  
LC<sub>50</sub>, 96-h assay

U.S. E.P.A.



Japanese medaka  
LC<sub>50</sub>, 96-h assay



Daphnia magna  
EC<sub>50</sub>, 48-h assay  
Japan Ministry of Environment

**671 chemicals**

**285 chemicals**

**363 chemicals**

4 categories guided by EPA thresholds of concern for acute aquatic toxicity  
(LC<sub>50</sub>/EC<sub>50</sub>: )

<1 mg/L  
< 0.0067  
mmol/L

1–100 mg/L  
0.0067 - 1.49  
mmol/L

100–500 mg/L  
1.49-3.32  
mmol/L

> 500 mg/L  
>3.32 mmol/L

# Rule of 2 for reduced aquatic toxicity

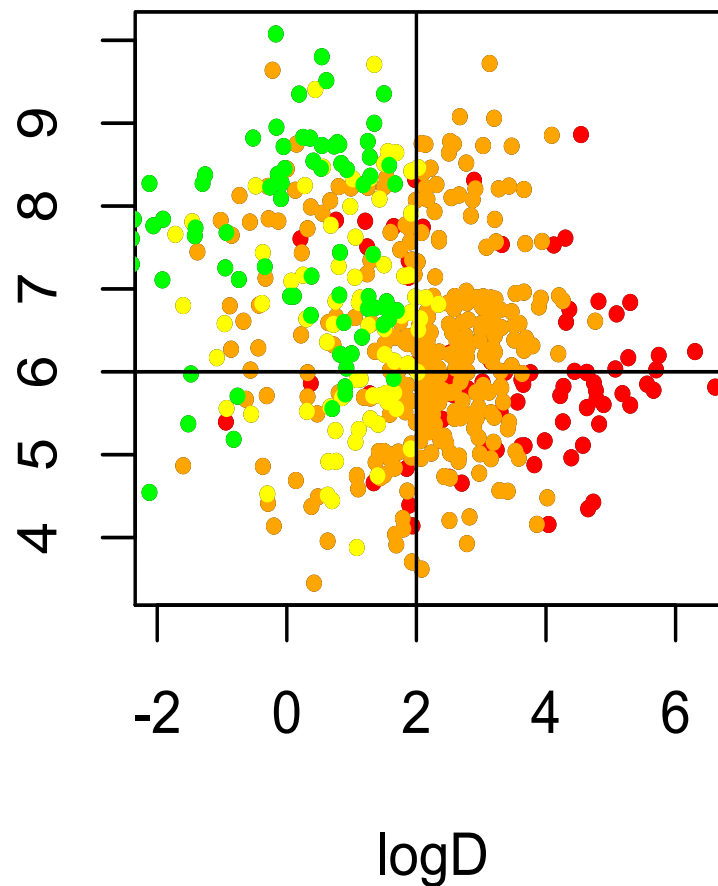
logD: logP at pH 7.4 (biological)

dE: HOMO-LUMO gap

85% of the compounds that have low acute aquatic toxicity concern have a logD < 1.7 and  $\Delta E > 6$  eV

58

Compounds that meet these criteria are **10 times more likely to have low acute aquatic toxicity** compared to compounds that do not meet these criteria. These results are mechanistically rationalized.



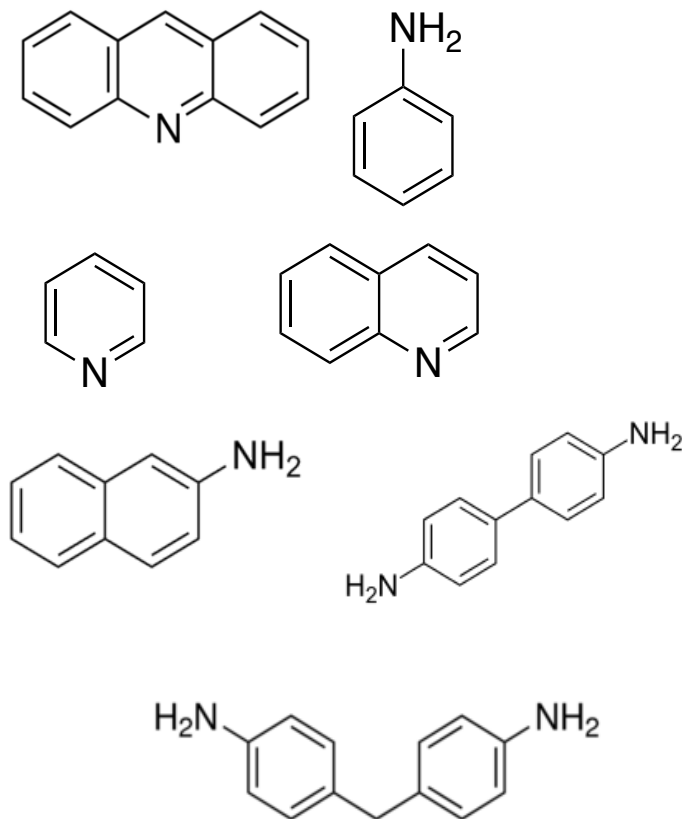


## Take-away: how to go about considering ecotoxicity of a chemical

- Is it a known compound? If so, identify all available experimental data (in vivo and in vitro) for all ecotoxicity endpoints
- If it is a new compound/material, consider predictive methods:
  - Predict logP and dE
  - Determine if likely safe to aquatic species (rule of 2)
  - Consider likelihood of reactivity (reactive f-n groups)
  - Consider bioavailability

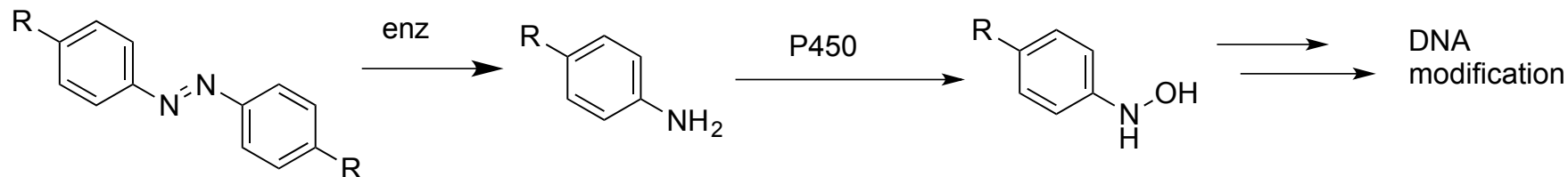
# Case Study: Selecting Safer Aromatic Amine

- Aromatic amines are widely used in dyeing industry as a starting material for manufacturing of different types of azo dyes
- Used in chemical industry for manufacturing petrol and diesel fuel, varnishes, and antioxidants.
- Widely used in some metal-coating multifunctional compositions for motor, transmission and industrial oils.
- Some aromatic amines can be find application in plastic, textile and rubber industries.
- Used in production of cloths, rubber and plastics.
- Formed during the thermal treatment of foods with high protein content. They can also be transferred from food packaging materials into foodstuffs



- **Toxicity:** However, they are known to be toxic, associated with methaemoglobinemia, agranulocytosis, aplastic anaemia, hepatotoxicity, skin hypersensitivity and increased risk of mutagenicity.
- Mechanism:
  1. oxidation of the aromatic ring *ortho* or *para* to the aniline nitrogen

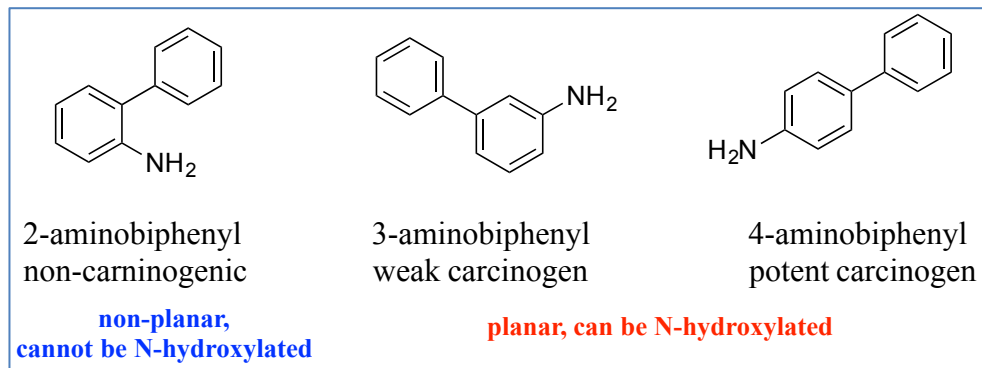
# Diazo dyes and primary aromatic amines: mutagenicity



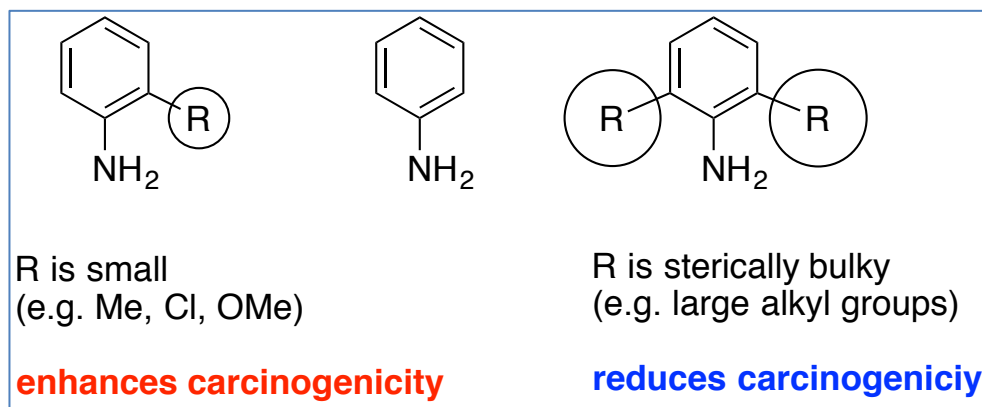
2. Oxidation of the aniline nitrogen to hydroxylamine, nitroso, nitro and related species. the hydroxylamine species undergo acetylation or sulfation to deliver a good leaving group which leads to reactive metabolites

# Is it possible to develop a “safe” diazo dye?

- Decrease N-hydroxylation of aromatic amine



- Reduce the electrophilic reactivity of the SA by steric or electronic effects.



# Goal of Case Study

You are provided with a list of PAAs and the acute and chronic ecotoxicity data associated with them, in addition to predicted data on biodegradation. From these compounds select 3 candidates to propose as ***safer*** alternatives.

Report back: Prepare 2 min report (

# Biodegradation resources

- EpiSUITE BIOWIN (US EPA)
- <http://eawag-bbd.ethz.ch/predict/>

# Workshop References

Kostal, J.; Voutchkova-Kostal, A. M.; Zimmerman, J. B.; Anastas, P., **Computational Approaches for Molecular Design for Reduced Toxicity - A Case Study: Acute Toxicity to the Fathead Minnow (*P. promelas*)**. *Proc. Nat. Acad. Sci.* **2013**, *In Review*.

Voutchkova-Kostal, A.; An, N.; Van Der Mei, F.; Patent Application No. 61/836,430, 2013.

Kostal, J.; Voutchkova-Kostal, A. M.; Weeks, B.; Zimmerman, J. B.; Anastas, P. T., **A Free Energy Approach to the Prediction of Olefin and Epoxide Mutagenicity and Carcinogenicity**. *Chem. Res. Tox.* **2012** 25 (12), 2780–2787.

Voutchkova-Kostal, A. M.; Kostal, J.; K., C.; Brooks, B. W.; Zimmerman, B.; Anastas, P., **Rational Molecular Design for Reduced Chronic Aquatic Toxicity** *Green Chemistry* **2012**, 14, 1001-1008.

Voutchkova, A. M.; Kostal, J.; Steinfeld, J. B.; Emerson, J. W.; Brooks, B. W.; Anastas, P.; Zimmerman, B., **Towards rational molecular design: derivation of property guidelines for reduced acute aquatic toxicity**. *Green Chemistry* **2011**, 13 (9), 2373-2379.

Voutchkova, A. M.; Osimitz, T. G.; Anastas, P. T., **Toward a Comprehensive Molecular Design Framework for Reduced Hazard**. *Chem Rev* **2010**, 110 (10), 5845-5882.